

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 January 2001 (11.01.2001)

PCT

(10) International Publication Number
WO 01/01939 A1

- (51) International Patent Classification⁷: **A61K 7/16** [US/CN]; White Swan Garden, Apt. #325, 1 Shamian GZ, Guangzhou 510133 (CN).
- (21) International Application Number: PCT/US00/15890
- (22) International Filing Date: 9 June 2000 (09.06.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
PCT/US99/15130 2 July 1999 (02.07.1999) US
- (71) Applicant (*for all designated States except US*): **THE PROCTER & GAMBLE COMPANY** [US/US]; One Procter & Gamble Plaza, Cincinnati, OH 45202 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (*for US only*): **YUE, Jiang** [US/US]; 7585 Lakota Springs Drive, West Chester, OH 45069 (US). **CRISANTI, Mark, Matthew** [US/US]; 7883 Bennington Drive, Cincinnati, OH 45241 (US). **MA-JETI, Satyanarayana** [US/US]; 7477 Greenfarms Drive, Cincinnati, OH 45224 (US). **BURGESS, Steven, Carl** [US/US]; 3517 Maple Avenue, Sharonville, OH 45241 (US). **RENO, Elizabeth, Ann** [US/US]; 5553 Venus Lane, Fairfield, OH 45014 (US). **LI, Li** [US/US]; 7585 Lakota Springs, West Chester, OH 45069 (US). **MITRA, Sekhar**
- (74) Agents: **REED, T., David et al.**; The Procter & Gamble Company, 5299 Spring Grove Avenue, Cincinnati, OH 45217-1087 (US).
- (81) Designated States (*national*): AE, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**
— *With international search report.*
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**WO 01/01939 A1**

(54) Title: COMPOSITIONS COMPRISING ORGANOSILOXANE RESINS FOR DELIVERING ORAL CARE SUBSTANCES

(57) Abstract: Disclosed is a composition for delivering an oral care substance to the oral cavity, comprising: (a) an organosiloxane resin; (b) a volatile carrier capable of solubilizing the organosiloxane resin; (c) a rheology modifier; and (d) at least one oral care substance. The present invention is also directed to such compositions comprising: (a) an organosiloxane resin; (b) a fluid diorganopolysiloxane polymer; (c) a volatile carrier capable of solubilizing the organosiloxane resin and the fluid diorganopolysiloxane polymer; (d) a rheology modifier; and (e) at least one oral care substance. Further disclosed is a method of using these compositions.

WO 01/01939

PCT/US00/15890

COMPOSITIONS COMPRISING ORGANOSILOXANE RESINS FOR DELIVERING ORAL CARE SUBSTANCES

5

10

FIELD

The present invention relates to compositions for delivering oral care substances to the surfaces of the teeth. The composition forms a film on the surface to which it has been applied. Said film contains oral care substances for enhancing the appearance of the teeth. These films may also provide sustained release of certain oral care substances from the film for prolonged therapeutic and, or prophylactic benefits. More specifically, the present invention relates to compositions comprising organosiloxane resins for delivering oral care substances to the tooth enamel. In addition, it is believed that the compositions herein may further provide sustained release benefits to other oral surfaces, such as the gingival and mucosal tissues, as well as to the surfaces of the teeth.

BACKGROUND

Oral care products by which various oral care substances or actives can be delivered to the soft and hard tissues of the oral cavity have previously been known. Examples of such oral care products include, for example, brushing aids such as dentifrice products for delivery of anti-caries actives such as fluoride or other actives for the reduction of the bacteria that lead to the formation of plaque, and mouthwashes containing breath freshening actives and/or anti-bacterial actives. In addition, bleaching agents such as peroxide that can be applied

WO 01/01939

PCT/US00/15890

directly to the surfaces of the teeth, i.e., to the tooth enamel, have been developed.

However, it has been found that such conventional product forms typically do not provide substantivity sufficient to maintain actives on the hard and soft oral tissues for a period of time sufficient to enhance or prolong the therapeutic, prophylactic, and/or cosmetic benefits provided by the actives. Neither have such conventional product forms been able to provide sustained delivery of oral care actives, without periodic reapplication at relatively short time intervals, or without a special delivery device or containment means such as a mouthpiece.

Attempts have previously been made to enhance the substantivity of whitening bleaches, bactericides, and other active components of oral care products. See, e.g., US patent no. 5,425,953 to Sintov et al. on June 20, 1995, in which a film forming, water-soluble cellulosic polymer is used to deliver a bleaching agent to the teeth; US patent no. 5,438,076 to Friedman et al., in which liquid methacrylate acid copolymer compositions are used to deliver a bacteriocidal pharmacological agent; and International Patent Appln. No. PCT/CN97/00 to Huang, published on July 24, 1997, disclosing a film-coating composition comprising cellulose and polyvinyl acetal, coumarone-indene resin, or shellace as a film former to deliver bleaches to the tooth enamel.

However, the above systems are water-soluble, i.e., they are readily dissolved by saliva, generally within about 1-3 hours after application. Therefore, their degree of durability is low, and they cannot provide long-term delivery of the active ingredient that is present in the composition. In addition, their water-soluble nature precludes them from being used with oral care actives that would be unstable in water-based films. Sodium percarbonate is one example of such an active; it would be unstable in the high pH environment of an aqueous-based film.

In order to provide an applied composition with a relatively higher degree of durability, the use of protective coatings that are applied to the teeth has been described. See, US patent no. 5,401,528, to Schmidt on March 18, 1995, in which organically modified silicic acid polycondensates are deposited on the

WO 01/01939

PCT/US00/15890

teeth, then polymerized in-situ by curing, to coat the teeth in order to protect them from plaque deposits. This system is not a true delivery system by which an active ingredient is released over time; instead, it provides a barrier by which the deleterious effect of plaque-causing bacteria may be diminished.

5 Although such a barrier coating may offer a benefit in terms of enhanced durability, it requires the use of special equipment and complex application; thus, it cannot be performed at home and cannot be used for self-treatment.

 Therefore, it can be seen that none of these previous developments can offer the combination of both long-term delivery of an oral care substance or
10 active ingredient and the convenience of discrete self-treatment and home use. Based on the foregoing, there is a need for a convenient delivery system for various oral care substances in which the substantivity of the active ingredients is enhanced. None of the existing art provides all of the advantages and benefits of the present invention.

15

SUMMARY

 The present invention is directed to compositions for delivering oral care substances to the oral cavity, comprising: (a) an organosiloxane resin; (b) a volatile carrier capable of solubilizing the organosiloxane resin; (c) a rheology modifier; and (d) at least one oral care substance. The present invention is also
20 directed to such compositions comprising: (a) an organosiloxane resin; (b) a fluid diorganopolysiloxane-based polymer; (c) a volatile carrier capable of solubilizing the organosiloxane resin and the fluid diorganopolysiloxane-based polymer; (d) a rheology modifier; and (e) at least one oral care substance. The present invention further is directed to a method of using these compositions.

25 These and other features, aspects, and advantages of the invention will become evident to those skilled in the art from a reading of the present disclosure.

DETAILED DESCRIPTION

 While the specification concludes with claims particularly pointing out and
30 distinctly claiming the invention, it is believed that the present invention will be better understood from the following description.

WO 01/01939

PCT/US00/15890

All percentages and ratios used hereinafter are by weight of total composition, unless otherwise indicated.

All measurements referred to herein are made at 25°C unless otherwise specified.

5 All percentages, ratios, and levels of ingredients referred to herein are based on the actual amount of the ingredient, and do not include solvents, fillers, or other materials with which the ingredient may be combined as a commercially available product, unless otherwise indicated.

10 All publications, patent applications, and issued patents mentioned herein are hereby incorporated in their entirety by reference. Citation of any reference is not an admission regarding any determination as to its availability as prior art to the claimed invention.

Herein, "comprising" means that other steps and other components which do not affect the end result can be added. This term encompasses the terms
15 "consisting of" and "consisting essentially of."

Organosiloxane Resins

Silicone resins are highly crosslinked polymeric siloxane systems. The crosslinking is introduced through the incorporation of tri-functional and tetra-functional silanes with mono-functional or di-functional, or both, silanes during
20 manufacture of the silicone resin. As is well understood in the art, the degree of crosslinking that is required in order to result in a silicone resin will vary according to the specific silane units incorporated into the silicone resin. In general, silicone materials which have a sufficient level of trifunctional and tetrafunctional siloxane monomer units, and hence, a sufficient level of
25 crosslinking, such that they dry down to a rigid, or hard, film are considered to be silicone resins. The ratio of oxygen atoms to silicon atoms is indicative of the level of crosslinking in a particular silicone material. Silicone materials which have at least about 1.1 oxygen atoms per silicon atom will generally be silicone resins herein. Preferably, the ratio of oxygen:silicon atoms is at least about
30 1.2:1.0.

WO 01/01939

PCT/US00/15890

Silicone materials and silicone resins in particular can conveniently be identified according to a shorthand nomenclature system well known to those skilled in the art as the "MDTQ" nomenclature. Under this system, the silicone is described according to the presence of various siloxane monomer units which
5 make up the silicone. Briefly, the symbol M denotes the mono-functional unit $(\text{CH}_3)_3\text{SiO}_{1.5}$; D denotes the difunctional unit $(\text{CH}_3)_2\text{SiO}$; T denotes the trifunctional unit $(\text{CH}_3)\text{SiO}_{1.5}$; and Q denotes the quadra- or tetra-functional unit SiO_2 . Note that a small amount, up to about 5% of silanol or alkoxy functionality may also be present in the resin structure as a result of processing.

10 Primes of the unit symbols, e.g., M', D', T', and Q', denote substituents other than methyl, and must be specifically defined for each occurrence. Typical alternate substituents include groups such as vinyl, phenyl, amino, hydroxyl, etc. The molar ratios of the various units, either in terms of subscripts to the symbols indicating the total number of each type of unit in the silicone, or an average
15 thereof, or as specifically indicated ratios in combination with molecular weight, complete the description of the silicone material under the MDTQ system. Higher relative molar amounts of T, Q, T' and/or Q' to D, D', M and/or M' in a silicone resin is indicative of higher levels of crosslinking. As discussed before, however, the overall level of crosslinking can also be indicated by the oxygen to
20 silicon ratio.

The organosiloxane resins are solid at about 25°C and the average molecular weight of the resins is from about 1,000 to about 10,000. The resins are soluble in organic solvents such as toluene, xylene, isoparaffins, and cyclosiloxanes or the volatile carrier described below, indicating that the resin is
25 not sufficiently crosslinked such that the resin is insoluble in the volatile carrier.

The silicone resins preferred for use herein are MQ, MT, MTQ, and MDTQ resins; such MQ resins are disclosed in U.S. Patent 5,330,747, Krzysik, issued July 19, 1994. Thus, the preferred silicone substituent is methyl. Especially preferred are MQ resins wherein the M:Q ratio is from about 0.5:1.0 to about
30 1.5:1.0. Organosiloxane resins such as these are commercially available, for

WO 01/01939

PCT/US00/15890

example, Wacker 803 and 804 available from Wacker Silicones Corporation of Adrian, Michigan, US, and G.E. 1170-002 (SR 1000) from the General Electric Company.

The level of the resin that is used in compositions is dependent on its degree of solubility in the formulation, particularly in the solvents used. Generally the range of resin used in the present invention is from about 5% to about 70%, preferably from about 15% to about 45% and most preferably from about 20% to about 40%.

Fluid Diorganopolysiloxane-based Polymers

In addition to the organosiloxane resins disclosed above, the compositions of the present invention may further comprise a fluid diorganopolysiloxane-based polymer to be combined with the organosiloxane resins. Said fluid diorganopolysiloxane-based polymers useful in the present invention span a large range of viscosities; from about 10 to about 10,000,000 centistokes (cSt) at 25 °C. Some diorganopolysiloxane-based polymers useful in this invention exhibit viscosities greater than 10,000,000 centistokes (cSt) at 25 °C and therefore are characterized by manufacturer specific penetration testing. Examples of this characterization are GE silicone materials SE 30 and SE 63 with penetration specifications of 500-1500 and 250-600 (tenths of a millimeter) respectively.

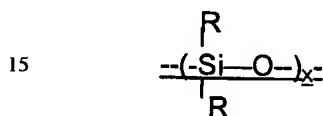
Among the fluid diorganopolysiloxane polymers of the present invention are diorganopolysiloxane polymers comprising repeating units, where said units correspond to the formula $(R_2SiO)_n$, where R is a monovalent radical containing from 1 to 6 carbon atoms, preferably selected from the group consisting of methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, amyl, hexyl, vinyl, allyl, cyclohexyl, amino alkyl, phenyl, fluoroalkyl and mixtures thereof. The fluid diorganopolysiloxane polymers employed in the present invention may contain one or more of these radicals as substituents on the siloxane polymer backbone. The fluid diorganopolysiloxane polymers may be terminated by triorganosilyl groups of the formula (R'_3Si) where R' is a monovalent radical selected from the

WO 01/01939

PCT/US00/15890

group consisting of radicals containing from 1-6 carbon atoms, hydroxyl groups, alkoxy groups, and mixtures thereof. The fluid diorganopolysiloxane polymer must be compatible in solution with the organosiloxane resin and the volatile carrier. The term "compatible" refers to the formation of a single phase solution
5 when the fluid diorganopolysiloxane polymer, the organosiloxane resin and the volatile carrier are mixed together in ratios required for a specific formulation. For example, the lower viscosity fluid diorganopolysiloxane polymers (viscosity of about 10 to 100cSt.) are particularly useful when using ethanol as the principal volatile carrier. For higher viscosity polymers, e.g., poly(dimethylsiloxane), herein
10 referred to as PDMS or silicone gum, having a viscosity of at least 100,000 cSt, volatile carriers other than ethanol are preferred.

Silicone gum corresponds to the formula:



where R is a methyl group.

20 Fluid diorganopolysiloxane polymers such as these are commercially available, for example, SE 30 silicone gum and SF96 silicone fluid available from the General Electric Company. Similar materials can also be obtained from Dow Corning and from Wacker Silicones.

Another fluid diorganosiloxane-based polymer preferred for use in the
25 present invention is a dimethicone copolyol to modify film forming characteristics as desired. The dimethicone copolyol can be further characterized as polyalkylene oxide modified polydimethylsiloxanes, such as manufactured by the Witco Corporation under the trade name Silwet. Similar materials can be obtained from Dow Corning, Wacker Silicones and Goldschmidt Chemical
30 Corporation as well as other silicone manufacturers.

WO 01/01939

PCT/US00/15890

In preferred embodiments of the present invention, the ratio of organosiloxane resin to fluid diorganopolysiloxane-based polymer is preferably from about 10:1 to about 1:10, preferably about 2:1 to about 8:1 and most preferably from about 4:1 to 6:1.

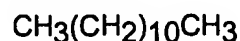
5

Volatile Carriers

In the present invention, the organosiloxane resin and the fluid diorganosiloxane-based polymer above must be easily transferred to the oral cavity surfaces, such as to the tooth enamel. To achieve delivery, it is necessary
10 that the resin or the resin/polymer combination above be incorporated into a carrier, specifically a volatile carrier which must quickly volatilize from the oral cavity surfaces, leaving a film on the application surfaces. The volatile carrier must solubilize the organosiloxane resin and if present in the composition, the fluid diorganosiloxane polymer.

15 The volatile carrier comprises from about 10% to about 90%, preferably from about 15% to about 80%, and more preferably from about 20% to about 70% of the composition. The volatile carrier of the present invention is selected from the group consisting of hydrocarbon oils, volatile silicones, non-hydrocarbon solvents, and mixtures thereof.

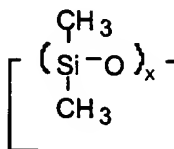
20 Hydrocarbon oils useful in the present invention include those having boiling points in the range of 60-260°C, more preferably hydrocarbon oils having from about C₈ to about C₂₀ chain lengths, most preferably C₈ to C₂₀ isoparaffins. Of these isoparaffins most preferred are selected from the group consisting of isododecane, isohexadecane, isoeicosane, 2,2,4-trimethylpentane,
25 2,3-dimethylhexane and mixtures thereof. Most preferred is isododecane, available as, for example, Permethyl 99A from Permethyl Corporation corresponding to the formula:



Preferred volatile silicone fluids include cyclomethicones having 3, 4 and 5
30 membered ring structures corresponding to the formula:

WO 01/01939

PCT/US00/15890



where X is from about 3 to about 6. Such volatile silicones include 244 Fluid, 344 Fluid and 245 Fluid, and 345 Fluid all from Dow Corning Corporation.

The general classes of non-hydrocarbon solvents useful herein include
 5 esters, ketones, alcohols, fluorocarbons and fluorocarbon ethers having boiling points in the range of 60 to 200 °C. Non-hydrocarbon solvents or mixtures thereof particularly useful include those that are capable of solubilizing the resin and/or the diorganopolysiloxane-based polymer. Such solvents include but are not limited to ethanol, acetone, butanone, ethyl acetate, propyl acetate, amyl
 10 acetate, ethyl butyrate, methyl nonafluoroisobutyl ether, methyl nonafluorobutyl ether, and mixtures thereof. These non-hydrocarbon solvents are readily available such as ethyl acetate and methyl ethyl ketone, both supplied by J. T. Baker of Phillisburg, N.J, and HFE (a mixture of methyl nonafluoroisobutyl ether and methyl nonafluorobutyl ether), supplied by the 3M Company.

15 Rheology Modifiers

The compositions further comprise a rheology modifier which inhibits settling and separation of components or controls settling in a manner which facilitates re-dispersion and may control rheological flow properties. Suitable rheology modifiers herein include organo modified clays, silicas, polyethylene,
 20 and mixtures thereof. The preferred organophilic clays comprise quaternium-18 hectorite or Stearalkonium hectorite, such as Bentone 27 and 38™ from Rheox, organoclay dispersion such as Bentone ISD gel™; or bentonite organo modified clays such as Bentone 34™ from Rheox or the Claytone Series™ from Southern Clay Products; and mixtures thereof. The preferred silicas may be fumed silica
 25 such as the Aerosil™ series from Degussa or the Cab-o-sil™ series from Cabot Corporation, silica gels such as the Sylodent™ or Sylox™ series from W. R. Grace & Co. or precipitated silica such as Zeothix 265 from J. M. Huber Corporation.

WO 01/01939

PCT/US00/15890

The rheology modifier is preferably present in the composition at a level of from about 0.1% to about 30%, preferably from about 0.5% to about 10%, and even more preferably 1% to about 3% of the composition.

Oral Care Substances

5 The oral care substance preferably contains an active at a level where upon directed use, the benefit sought by the wearer is promoted without detriment to the oral surface to which it is applied. Examples of the oral conditions these actives address include, but, are not limited to, appearance and structural changes to teeth, whitening, stain bleaching, stain removal, plaque
10 removal, tartar removal, cavity prevention and treatment, inflamed and/or bleeding gums, mucosal wounds, lesions, ulcers, aphthous ulcers, cold sores, tooth abscesses, and the elimination of mouth malodor resulting from the conditions above and other causes such as microbial proliferation.

Suitable oral care substances include any material that is generally
15 considered safe for use in the oral cavity and that provides changes to the overall appearance and/or health of the oral cavity. The level of oral care substance in the compositions of the present invention is generally, unless specifically noted, from about 0.01% to about 50%, preferably from about 0.1% to about 20%, more preferably from about 0.5% to about 10%, and even more preferably from about
20 1% to about 7%, by weight of the composition.

Oral care compositions or substances of the present invention may include many of the actives previously disclosed in the art. The following is a non-limiting list of oral care actives that may be used in the present invention.

1. Teeth Color Modifying Substances

25 Teeth color modifying substances may be considered among the oral care substance useful in the present invention. These substances are suitable for modifying the color of the teeth to satisfy the consumer. These substances comprise particles that when applied on the tooth surface modify that surface in terms of absorption and, or reflection of light. Such particles provide an appearance benefit
30 when a film containing such particles is applied over the surfaces of a tooth or teeth.

WO 01/01939

PCT/US00/15890

This benefit may last to the point wherein the film has eroded, presenting for example a mottled or non-uniform looking tooth surface.

Particles most useful in the present invention include pigments and colorants routinely used in the cosmetic arts. There are no specific limitations as to the pigment and, or colorant used in the present composition other than the limitation of the effect it has on the light source upon the teeth surfaces. Pigments and colorants include inorganic white pigments, inorganic colored pigments, pearling agents, filler powders and the like; see Japanese Published Patent Application Kokai No. 9 [1997] -100215, published April 15, 1997, incorporated herein by reference. Specific examples are selected from the group consisting of talc, mica, magnesium carbonate, calcium carbonate, magnesium silicate, aluminum magnesium silicate, silica, titanium dioxide, zinc oxide, red iron oxide, brown iron oxide, yellow iron oxide, black iron oxide, ferric ammonium ferrocyanide, manganese violet, ultramarine, nylon powder, polyethylene powder, methacrylate powder, polystyrene powder, silk powder, crystalline cellulose, starch, titanated mica, iron oxide titanated mica, bismuth oxychloride, and mixtures thereof. Most preferred are those selected from the group consisting of titanium dioxide, bismuth oxychloride, zinc oxide and mixtures thereof. Pigments that are generally recognized as safe, and are listed in C.T.F.A. Cosmetic Ingredient Handbook, 3rd Ed., Cosmetic and Fragrance Assn., Inc., Washington D.C. (1982), incorporated herein by reference.

The pigments are typically used as opacifiers and colorants. These pigments can be used as treated particles, or as the raw pigments themselves. Typical pigment levels are selected for the particular impact that is desirable by the consumer. For example, teeth that are particularly dark or stained one would typically use pigments in sufficient amount to lighten the teeth. On the other hand, where individual teeth or spots on the teeth are lighter than other teeth, pigments to darken the teeth may be useful. The levels of pigments and colorants are generally used in the range of about 0.05% to about 20%, preferably from about 0.10% to about 15% and most preferably from about 0.25% to about 10% of the composition.

In place of or in addition to pigments and colorants, teeth modifying substances include materials that remove or bleach intrinsic or extrinsic stains on or

WO 01/01939

PCT/US00/15890

in the tooth surfaces. Such substances are selected from the group consisting of the peroxides, metal chlorites, perborates, percarbonates, peroxyacids, persulfates, and combinations thereof. Suitable peroxide compounds include hydrogen peroxide, urea peroxide, calcium peroxide, carbamide peroxide, and mixtures thereof. Most preferred is carbamide peroxide. Suitable metal chlorites include calcium chlorite, barium chlorite, magnesium chlorite, lithium chlorite, sodium chlorite, and potassium chlorite. Additional bleaching substances may be hypochlorite and chlorine dioxide. The preferred chlorite is sodium chlorite. A preferred percarbonate is sodium percarbonate. Preferred persulfates are oxones. The level of these substances is dependent on the available oxygen or chlorine respectively that the molecule is capable of providing to bleach the stain. This level is generally used in compositions of the present invention at levels from about 0.1% to about 35%, preferably from about 1% to about 25% and most preferably from about 5% to about 10% of the composition.

2. Anti-tartar Agents

Anti-tartar agents known for use in dental care products include phosphates. Phosphates include pyrophosphates, polyphosphates, polyphosphonates and mixtures thereof. Pyrophosphates are among the best known for use in dental care products. Pyrophosphate and polyphosphate ions are delivered to the teeth derive from pyrophosphate polyphosphate salts. The pyrophosphate salts useful in the present compositions include the dialkali metal pyrophosphate salts, tetra-alkali metal pyrophosphate salts, and mixtures thereof. Disodium dihydrogen pyrophosphate ($\text{Na}_2\text{H}_2\text{P}_2\text{O}_7$), tetrasodium pyrophosphate ($\text{Na}_4\text{P}_2\text{O}_7$), and tetrapotassium pyrophosphate ($\text{K}_4\text{P}_2\text{O}_7$) in their unhydrated as well as hydrated forms are the preferred species. While any of the above mentioned pyrophosphate salts may be used, tetrasodium pyrophosphate salt is preferred. Sodium polyphosphate and triethanolamine polyphosphates, for example, are preferred.

The pyrophosphate salts are described in more detail in Kirk & Othmer, *Encyclopedia of Chemical Technology*, Third Edition, Volume 17, Wiley-Interscience Publishers (1982). Additional anticalculus agents include pyrophosphates or

WO 01/01939

PCT/US00/15890

polyphosphates disclosed in U.S. Patent No. 4,590,066 issued to Parran & Sakkab on May 20, 1986; polyacrylates and other polycarboxylates such as those disclosed in U.S. Patent No. 3,429,963 issued to Shedlovsky on February 25, 1969 and U.S. Patent No. 4,304,766 issued to Chang on December 8, 1981; and U.S. Patent No. 4,661,341 issued to Benedict & Sunberg on April 28, 1987; polyepoxysuccinates such as those disclosed in U.S. Patent No. 4,846,650 issued to Benedict, Bush & Sunberg on July 11, 1989; ethylenediaminetetraacetic acid as disclosed in British Patent No. 490,384 dated February 15, 1937; nitrilotriacetic acid and related compounds as disclosed in U.S. Patent No. 3,678,154 issued to Widder & Briner on July 18, 1972; polyphosphonates as disclosed in U.S. Patent No. 3,737,533 issued to Francis on June 5, 1973, U.S. Patent No. 3,988,443 issued to Ploger, Schmidt-Dunker & Gloxhuber on October 26, 1976 and U.S. Patent No. 4,877,603 issued to Degenhardt & Kozikowski on October 31, 1989. Anticalculus phosphates include potassium and sodium pyrophosphates; sodium tripolyphosphate; diphosphonates, such as ethane-1-hydroxy-1,1-diphosphonate, 1-azacycloheptane-1,1-diphosphonate, and linear alkyl diphosphonates; linear carboxylic acids; and sodium zinc citrate.

Agents that may be used in place of or in combination with the pyrophosphate salt include such known materials as synthetic anionic polymers including polyacrylates and copolymers of maleic anhydride or acid and methyl vinyl ether (e.g., Gantrez), as described, for example, in U.S. Patent 4,627,977, to Gaffar et al.; as well as, e.g., polyamino propoane sulfonic acid (AMPS), zinc citrate trihydrate, polyphosphates (e.g., tripolyphosphate; hexametaphosphate), diphosphonates (e.g., EHDP; AHP), polypeptides (such as polyaspartic and polyglutamic acids), and mixtures thereof.

3. Fluoride Ion Source

Fluoride ion sources are well known for use in oral care compositions as anticaries agents. Fluoride ions are contained in a number of oral care compositions for this purpose, particularly toothpastes. Patents disclosing such toothpastes include U.S. Pat. No. 3,538,230, Nov. 3, 1970 to Pader et al; U.S. Pat. No. 3,689,637, Sept. 5, 1972 to Pader; U.S. Pat. No. 3,711,604, Jan 16, 1973 to Colodney et al; U.S. Pat.

WO 01/01939

PCT/US00/15890

No. 3,911,104, Oct. 7, 1975 to Harrison; U.S. Pat. No. 3,935,306, Jan. 27, 1976 to Roberts et al; and U.S. Pat. No. 4,040,858, Aug. 9, 1977 to Wason.

Application of fluoride ions to dental enamel serves to protect teeth against decay. A wide variety of fluoride ion-yielding materials can be employed as sources of soluble fluoride in the instant compositions. Examples of suitable fluoride ion-yielding materials are found in Briner et al; U.S. Pat. No. 3,535,421; issued Oct. 20, 1970 and Widder et al; U.S. Pat. No. 3,678,154; issued July 18, 1972. Preferred fluoride ion sources for use herein include sodium fluoride, potassium fluoride and ammonium fluoride. Sodium fluoride is particularly preferred. Preferably the instant compositions provide from about 50 ppm to 10,000 ppm, more preferably from about 100 to 3000 ppm, of fluoride ions in the compositions that contact dental surfaces when used with the delivery system of the present invention.

4. Anti-microbial Agents

Anti-microbial agents can also be present in the oral care compositions or substances of the present invention. Such agents may include, but are not limited to, 5-chloro-2-(2,4-dichlorophenoxy)-phenol, commonly referred to as triclosan, and described in The Merck Index, 11th ed. (1989), pp. 1529 (entry no. 9573) in U.S. Patent No. 3,506,720, and in European Patent Application No. 0,251,591 of Beecham Group, PLC, published January 7, 1988; phthalic acid and its salts including, but not limited to those disclosed in U.S. Pat. 4,994,262, Feb. 19, 1991, preferably magnesium monopotassium phthalate, chlorhexidine (Merck Index, no. 2090), alexidine (Merck Index, no. 222; hexetidine (Merck Index, no. 4624); sanguinarine (Merck Index, no. 8320); benzalkonium chloride (Merck Index, no. 1066); salicylanilide (Merck Index, no. 8299); domiphen bromide (Merck Index, no. 3411); cetylpyridinium chloride (CPC) (Merck Index, no. 2024; tetradecylpyridinium chloride (TPC); N-tetradecyl-4-ethylpyridinium chloride (TDEPC); octenidine; delmopinol, octapinol, and other piperidino derivatives; nicin preparations; zinc/stannous ion agents; antibiotics such as augmentin, amoxicillin, tetracycline, doxycycline, minocycline, and metronidazole; and analogs and salts of the above; essential oils including thymol, geraniol, carvacrol, citral, hinokitiol, eucalyptol, catechol (particularly

WO 01/01939

PCT/US00/15890

4-allyl catechol) and mixtures thereof; methyl salicylate; hydrogen peroxide; metal salts of chlorite and mixtures of all of the above.

5. Anti-inflammatory Agents

Anti-inflammatory agents can also be present in the oral care compositions or substances of the present invention. Such agents may include, but are not limited to, non-steroidal anti-inflammatory agents or NSAIDs such as
5 ketorolac, flurbiprofen, ibuprofen, naproxen, indomethacin, aspirin, ketoprofen, piroxicam and meclofenamic acid. Use of NSAIDs such as Ketorolac are claimed in U.S. Patent 5,626,838, issued May 6, 1997. Disclosed therein are methods of preventing and, or treating primary and reoccurring squamous cell carcinoma of the oral cavity or oropharynx by topical administration to the oral cavity or
10 oropharynx an effective amount of an NSAID.

6. Nutrients

Nutrients may improve the condition of the oral cavity and can be included in the oral care compositions or substances of the present invention. Nutrients include minerals, vitamins, oral nutritional supplements, enteral nutritional
15 supplements, and mixtures thereof.

Minerals that can be included with the compositions of the present invention include calcium, phosphorus, fluoride, zinc, manganese, potassium and mixtures thereof. These minerals are disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company, St. Louis, Mo., ©
20 1997, pp10-17.

Vitamins can be included with minerals or used separately. Vitamins include Vitamins C and D, thiamine, riboflavin, calcium pantothenate, niacin, folic acid, nicotinamide, pyridoxine, cyanocobalamin, para-aminobenzoic acid, bioflavonoids, and mixtures thereof. Such vitamins are disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company,
25 St. Louis, Mo., ©1997, pp. 3-10.

Oral nutritional supplements include amino acids, lipotropics, fish oil, and mixtures thereof, as disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company, St. Louis, Mo., ©1997, pp. 54-54e.

WO 01/01939

PCT/US00/15890

Amino acids include, but, are not limited to L-Tryptophan, L-Lysine, Methionine, Threonine, Levocarnitine or L- carnitine and mixtures thereof. Lipotropics include, but, are not limited to choline, inositol, betaine, linoleic acid, linolenic acid, and mixtures thereof. Fish oil contains large amounts of Omega-3 (N-3) Polyunsaturated fatty acids, eicosapentaenoic acid and docosahexaenoic acid.

Enteral nutritional supplements include, but, are not limited to protein products, glucose polymers, corn oil, safflower oil, medium chain triglycerides as disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company, St. Louis, Mo., ©1997, pp. 55-57.

10 7. Mouth and Throat Products

Other materials that can be used with the present invention include commonly known mouth and throat products. Such products are disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company, St. Louis, Mo., ©1997, pp. 520b-527. These products include, but, are not limited to anti-fungal, antibiotic and analgesic agents.

8. Antioxidants

Antioxidants are generally recognized as useful in compositions such as those of the present invention. Antioxidants are disclosed in texts such as Cadenas and Packer, The Handbook of Antioxidants, © 1996 by Marcel Dekker, Inc. Antioxidants that may be included in the oral care composition or substance of the present invention include, but are not limited to Vitamin E, ascorbic acid, Uric acid, carotenoids, Vitamin A, flavonoids and polyphenols, herbal antioxidants, melatonin, aminoindoles, lipoic acids and mixtures thereof.

9. H-2 Antagonists

25 Histamine-2 (H-2 or H2) receptor antagonist compounds (H-2 antagonists) may be used in the oral care composition of the present invention. As used herein, selective H-2 antagonists are compounds that block H-2 receptors, but do not have meaningful activity in blocking histamine-1 (H-1 or H1) receptors. Selective H-2 antagonists stimulates the contraction of smooth muscle from various organs, such as the gut and bronchi; this effect can be suppressed by low concentrations of mepyramine - a typical antihistaminic drug. The

WO 01/01939

PCT/US00/15890

pharmacological receptors involved in these mepyramine-sensitive histamine responses have been defined as H-1 receptors (Ash, A.S.F. & H.O. Schild, Brit. J. Pharmacol Chemother., Vol. 27 (1966), p. 427. Histamine also stimulates the secretion of acid by the stomach (Loew, E.R. & O. Chickering, Proc. Soc. Exp. Biol. Med., Vol. 48 (1941), p. 65), increases the heart rate (Trendelenburg, U., J. Pharmacol., Vol. 130 (1960), p. 450), and inhibits contractions in the rat uterus (Dews, P.B. & J.D.P. Graham, Brit. J. Pharmacol. Chemother., Vol. 1 (1946), p. 278); these actions cannot be antagonized by mepyramine and related drugs. The H-2 antagonists useful in the oral care compositions or substances are those that blockade the receptors involved in mepyramine-insensitive, non-H-1 (H-2), histamine responses, and do not blockade the receptors involved in mepyramine-sensitive histamine responses.

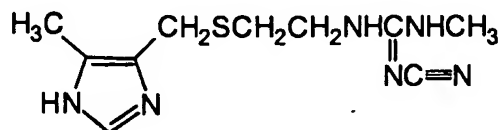
Selective H-2 antagonists are those compounds found to be H-2 antagonists through their performance in classical preclinical screening tests for H-2 antagonist function. Selective H-2 antagonists are identified as compounds which can be demonstrated to function as competitive or non-competitive inhibitors of histamine-mediated effects in those screening models specifically dependent upon H-2 receptor function, but to lack significant histamine antagonist activity in those screening models dependent upon H-1 receptor function. Specifically, this includes compounds that would be classified as described by Black, J.W., W.A.M. Duncan, C.J. Durant, C.R. Ganellin & E.M. Parsons, "Definition and Antagonism of Histamine H2-Receptors", Nature, Vol. 236 (April 21, 1972), pp. 385-390 (Black), as H-2 antagonists if assessed as described by Black through testing with the guinea pig spontaneously beating right atria in vitro assay and the rat gastric acid secretion in vivo assay, but shown to lack in significant H-1 antagonist activity relative to H-2 antagonist activity, if assessed as described by Black with either the guinea pig ileum contraction in vitro assay or the rat stomach muscle contraction in vivo assay. Preferably selective H-2 antagonists demonstrate no significant H-1 activity at reasonable dosage levels in the above H-1 assays. Typical reasonable dosage

WO 01/01939

PCT/US00/15890

level is the lowest dosage level at which 90% inhibition of histamine, preferably 99% inhibition of histamine, is achieved in the above H-2 assays.

Selective H-2 antagonists include compounds meeting the above criteria which are disclosed in U.S. Patents 5,294,433 and 5,364,616 Singer et al., issued 3/15/94 and 11/15/94 respectively and assigned to Procter & Gamble, wherein the selective H-2 antagonist is selected from the group consisting of cimetidine, etintidine, ranitidine, ICIA-5165, tiotidine, ORF-17578, lupitidine, donetidine, famotidine, roxatidine, pifatidine, lamtidine, BL-6548, BMY-25271, zaltidine, nizatidine, mifentidine, BMY-25368 (SKF-94482), BL-6341A, ICI-162846, ramixotidine, Wy-45727, SR-58042, BMY-25405, loxidine, DA-4634, bisfentidine, sufotide, ebrotidine, HE-30-256, D-16637, FRG-8813, FRG-8701, impromidine, L-643728, and HB-408. Particularly preferred is cimetidine (SKF-92334), N-cyano-N'-methyl-N''-(2-((5-methyl-1H-imidazol-4-yl)methyl)thio)ethyl)guanidine:



Cimetidine is also disclosed in the Merck Index, 11th edition (1989), p. 354 (entry no. 2279), and Physicians' Desk Reference, 46th edition (1992), p. 2228. Related preferred H-2 antagonists include burimamide and metiamide.

10. Analgesic Actives

Anti-pain or desensitizing agents can also be present in the oral care compositions or substances of the present invention. Such agents may include, but are not limited to, strontium chloride, potassium nitrate, natural herbs such as gall nut, Asarum, Cubebin, Galanga, scutellaria, Liangmianzhen, Baizhi, etc.

11. Anti-Viral Actives

Antiviral actives useful in the present composition include any know actives that are routinely use to treat viral infections. Such anti-viral actives are disclosed in Drug Facts and Comparisons (loose leaf drug information service), Wolters Kluwer Company, St. Louis, Mo., ©1997, pp. 402(a)-407(z), incorporated herein by reference in its entirety. Specific examples include anti-viral actives disclosed in

WO 01/01939

PCT/US00/15890

U.S. Patent 5,747,070, issued May 5, 1998 to Satyanarayana Majeti, incorporated herein by reference in its entirety. Said Patent discloses the use of stannous salts to control viruses. Stannous salts and other anti-viral actives are described in detail in Kirk & Othmer, Encyclopedia of Chemical Technology, Third Edition, Volume 23,
5 Wiley-Interscience Publishers (1982), pp. 42-71, incorporated herein by reference in its entirety. The stannous salts that may be used in the present invention would include organic stannous carboxylates and inorganic stannous halides. While stannous fluoride may be used, it is typically used only in combination with another stannous halide or one or more stannous carboxylates or another therapeutic
10 agent.

12. Other Ingredients

In addition to the above materials of the composition of the present invention, a number of other components may desirably be added to the oral care substance. Additional components include, but are not limited to, flavoring
15 agents, sweetening agents, xylitol, surfactants, and chelants such as ethylenediaminetetraacetic acid. Suitable flavoring agents include, but are not limited to, oil of peppermint, oil of sassafras, clove bud oil, peppermint, menthol, anethole, thymol, methyl salicylate, eucalyptol, cassia, 1-menthyl acetate, sage, eugenol, parsley oil, oxanone, oil of wintergreen, alpha-irisone, oil of spearmint,
20 marjoram, lemon, orange, propenyl guaethol, cinnamon, and mixtures thereof.

These additional ingredients can also be used in place of the compounds disclosed above.

EXAMPLES

The following examples further describe and demonstrate embodiments
25 within the scope of the present invention. The examples are given solely for the purpose of illustration and are not to be construed as limitations of the present invention, as many variations thereof are possible without departing from the spirit and scope of the invention.

30

WO 01/01939

PCT/US00/15890

Table 1: Hydrophobic Oral Care Composition

Component	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5	Ex.6
Organosiloxane Resin ¹	25	25	24.6	25	25	25
Silicone Gum ²	12.5	4.2	3.5	2.5	1.8	--
Oral Care Substance	17	17	17	17	17	17
Volatile Carrier ³	44.5	52.8	53.9	54.5	55.2	57.0
Bentone Clay ⁴	1	1	1	1	1	1
TOTAL	100	100	100	100	100	100

1. E.g., MQ resin available as 1170-002 from General Electric.

2. E.g., Dimethicone gum available as SE 63 or SE 30 from General Electric.

5 3. E.g., Isododecane or a 50/50 mixture of ethyl acetate and butanone or a mixture of ethyl acetate, propyl acetate and HFE. The percentage of each individual solvent component in the mixed solvent systems can vary from 0 to 100%, respectively.

4. Bentone 27 available from Rheox.

10 Method of Preparation

The compositions of Table 1 are non-aqueous. The oral care substances are dispersed or dissolved in a solution comprising the organosiloxane resin, the fluid diorganopolysiloxane polymer, the volatile carrier, and the rheology modifier.

The hydrophobic compositions of Table 1 are suitably prepared as follows.

15 Three hundred (300) grams of organosiloxane resin solution (for example, 43.7% MQ resin solution in isododecane or in a 50/50 mixture of ethyl acetate and butanone or in a mixture of ethyl acetate, propyl acetate and HFE) are mixed with 147.30 grams of fluid diorganopolysiloxane polymer solution (for example, 50% SE 30 silicone gum solution in isododecane or a 50/50 mixture of ethyl acetate and butanone or a mixture of ethyl acetate, propyl acetate and HFE). The oral
20 care substances are then dispersed in the resin/gum mixture. This method may be carried out without the presence of the silicone gum.

WO 01/01939

PCT/US00/15890

All oral care substances described herein can be formulated as described above. The compositions described in the following Table 1a may be prepared using the above method.

5 Table 1a: Hydrophobic Oral Care Compositions

Component	Ex.1	Ex.2	Ex.3	Ex.4
Organosiloxane Resin ¹	33.43	33.43	35.14	33.43
Silicone Gum ²	5.57	5.57	5.86	5.57
Oral Care Substance	19.00	12.00	19.00	19.00
Ethyl acetate	8.00	8.50	8.00	8.00
Bentone Gel ³	10.00	10.00	10.00	10.00
DC-200/350cst. ⁴	1.00	1.00	2.00	1.00
HFE-7100 ⁵	21.00	26.00	-	19.50
N-propyl acetate	2.00	2.00	-	2.00
2-butanone	-	-	19.00	-
Aerosil 200 ⁶ (SiO ₂)	-	-	1.00	-
Flavor	-	1.50	-	1.50
	100.00	100.00	100.00	100.00

1. E.g., MQ resin available as 1170-002 from General Electric.

2. E.g., Dimethicone gum available as SE 30 from General Electric.

3. Bentone Gel IPM available from Rheox.

4. DC-200/350 is: dimethylpolysiloxane, CAS# 9016-00-6, from Dow Corning.

10 5. HFE-7100 is a mixture of Methyl Nonafluoroisobutyl Ether, CAS# 163702-08-7 and Methyl Nonafluorobutyl Ether, CAS# 163702-07-6 manufactured by 3M Co.

6. Aerosil 200 is silicon dioxide (chemically prepared), CAS# 112945-52-5, from Degussa AG.

15

20

WO 01/01939

PCT/US00/15890

Table 2a: Teeth Color Modifying Compositions

Component	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5	Ex.6
Ethyl Acetate	26.00	22.00	34.00	28.00	25.77	23.00
2-Butanone	25.95	17.00	9.00	14.50	25.50	23.45
Isododecane	-	10.00	-	-	5.00	-
Limonene	-	4.00	-	-	-	-
MQ Resin	28.00	32.50	33.00	35.00	27.00	28.00
SE 30 Silicone Gum	7.00	-	11.00	5.00	3.00	7.00
Silicone Fluid (10cStk)	-	6.50	-	-	-	-
Bentone Gel ISD	10.00	-	8.00	10.00	10.00	-
Claytone HY	-	2.00	-	-	-	7.00
Cab-o-Sil	-	-	1.50	-	-	-
Bismuth Oxychloride	-	5.00	-	-	-	10.00
Titanium Dioxide	3.0	-	1.00	5.00	2.00	-
Flavor Oil	-	-	0.10	-	0.15	0.15
Polymethyl- silsesquioxane	-	-	1.00	-	-	-
Polymethyl- methacrylate	-	1.00	-	-	0.50	-
Nylon 12	-	-	-	2.00	-	1.00
Silica	-	-	-	-	0.50	-
F&D&C Yellow #5 Aluminum Lake	0.05	-	0.10	-	0.05	0.10
Iron Oxide, red	-	-	-	0.50	0.03	-
Sodium Fluoride	-	-	1.0	-	-	-
Sodium Saccharin	-	-	0.30	-	0.50	0.30
	100.00	100.00	100.00	100.00	100.00	100.00

Table 2b. Teeth Color Modifying Compositions

WO 01/01939

PCT/US00/15890

Component	Ex.7	Ex.8	Ex.9	Ex.10	Ex.11	Ex.12
Ethyl Acetate	18.00	14.85	22.25	20.88	18.96	18.00
2-Butanone	18.00	13.00	13.10	20.88	10.00	18.00
Isododecane	-	10.00	-	-	11.54	-
Limonene	-	4.35	-	-	5.00	-
MQ Resin	28.00	32.50	26.50	27.33	36.00	31.50
SE 30 Silicone Gum	7.00	-	8.80	13.67	-	-
Silicone Visc-100M	-	-	-	-	-	3.50
Silicone Fluid (10cStk)	-	6.50	-	-	9.00	-
Bentone Gel ISD	10.00	-	6.40	9.95	-	10.00
Claytone HY	-	2.45	-	-	3.00	-
Cab-o-Sil	-	-	1.50	-	-	-
Sodium Percarbonate	19.00	-	19.00	7.00	-	19.00
Carbamide Peroxide	-	15.00	-	-	5.00	-
Bismuth Oxychloride	-	1.15	-	-	-	-
Titanium Dioxide	-	-	1.00	-	1.50	-
Flavor Oil	-	-	0.15	-	-	-
Sodium Fluoride	-	-	1.00	-	-	-
Sodium Saccharin	-	0.20	0.30	0.30	-	-

Table 2c: Ethanol Based Teeth Color Modifying Compositions

Component	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5	Ex.6
Ethanol	25.71	23.10	41.70	39.60	23.55	23.50
Ethyl Acetate	--	4.25	--	--	--	--
Ethyl Butyrate	12.74	11.75	--	6.30	10.90	11.40
Isoamyl Acetate	--	--	--	--	2.65	
Isododecane	--	--	--	8.00	--	--
MQ Resin	47.00	42.00	41.75	36.33	41.80	39.00
Silicone Visc-100M	--	7.10	--	--	--	--
Silicone Fluid 100	9.65	--	--	--	8.55	8.55

WO 01/01939

PCT/US00/15890

cSt						
Silicone Fluid 10	--	4.00	11.05	--	--	4.30
cStk						
Silwet L-7500	--	--	--	--	6.85	--
Bentone 27	1.85	1.35	2.00	2.27	1.97	1.70
Cab-o-Sil	--	0.45	--	--	--	--
Bismuth Oxychloride	--	5.00	--	--	--	10.00
Titanium Dioxide	3.00	--	1.00	5.00	2.00	--
Flavor Oil	--	--	0.10	--	0.15	0.15
Polymethyl- silsesquioxane	--	--	1.00	--	--	--
Polymethyl- methacrylate	--	1.00	--	--	0.50	--
Nylon 12	--	--	--	2.00	--	1.00
silica	--	--	--	--	0.50	--
FD&C Yellow #5 Al Lake	0.05	--	0.10	--	0.05	0.10
Iron Oxide, Red	--	--	--	0.50	0.03	--
Sodium Fluoride	--	--	1.00	--	--	--
Sodium Saccharin	--	--	0.30	--	0.50	0.30
	100.00	100.00	100.00	100.00	100.00	100.00

Table 3: Oral Care Compositions

Component	Ex.1	Ex.2	Ex.3	Ex.4	Ex.5
Ethyl Acetate	24.50	27.75	22.00	19.96	21.10
2-Butanone	24.50	16.30	22.00	10.00	21.10
Isododecane	-	-	-	11.54	-
Limonene	-	-	-	5.00	-
MQ Resin	30.40	33.00	28.80	36.00	32.84
SE 30 Silicone Gum	7.60	11.00	14.40	-	8.21
Silicone Fluid 10cStk	-	-	-	9.00	-

WO 01/01939

PCT/US00/15890

Bentone Gel ISD	10.00	8.00	10.50	-	11.75
Claytone HY	-	-	-	3.00	-
Cab-o-Sil	-	1.50	-	-	-
Bismuth Oxychloride	-	1.00	-	-	-
Titanium Dioxide	-	-	-	2.00	-
Flavor Oil	-	0.15	-	-	-
Potassium Nitrate	-	-	-	-	5.00
Sodium Chlorite	3.00	-	-	-	-
Tripolyphosphate	-	-	-	2.50	-
Sodium Fluoride	-	1.00	-	1.00	-
Chlorhexidine Gluconate	-	-	2.00	-	
Sodium Saccharin	-	0.30	0.30	-	--
	100.00	100.00	100.00	100.00	100.00

Method of Preparation

The compositions of Tables 2a, 2b, 2c and 3 are suitably prepared as followed. Add approximately 90% of the solvents into a container suitable to minimize solvent loss. Add approximately 75% of rheology modifiers and mix until well dispersed. Add about 90% of the silicone resin and mix until completely dissolved. Add the silicone gum and, or silicone fluids and mix until completely dissolved. Alternatively premixes of the silicone resin and/or the silicone gum may be prepared prior to incorporation into the final blending step to facilitate silicone dissolution and ease of manufacturing. Depending on the formula composition, the order of ingredient addition may also vary such as the addition of the rheology modifier(s) may be moved to a later step allowing lower viscosity to be maintained until the later stages of the blending step. At this time add any salts such as sodium percarbonate and other oral care actives, aesthetic ingredients such as sweeteners, dyes, and flavors. Continue mixing until homogeneous.. Additional high shear mixing may be used to promote the mixing.

WO 01/01939

PCT/US00/15890

In a separate vessel add the remainder of the silicone resin, rheology modifiers, the pigments and approximately 10% of the solvent. Mix until it is a homogeneous slurry. Add this slurry to the mixture above after running the slurry through an ultrasonic processor such as a Vibra- Cell Ultrasonic Processor,
5 available from Sonics & Materials, Inc., Newtown, Ct. Mix the composition until homogeneous and pack into air tight containers.

The embodiments disclosed and represented by the examples of the previous Tables have many advantages. For example, they provide better durability and subsequent delivery of oral care substances, particularly to the
10 surfaces of the teeth. They also provide a convenient, discrete, and easy to use product form which can deliver benefits that are significantly different from those that can be achieved by conventional product forms.

Method of Use

In practicing the present invention, the user need only apply a composition
15 herein that contains the oral care substance or substances necessary in order to obtain a desired effect, e.g. teeth color modification, breath freshening, caries prevention, pain relief, gum health, tartar control, etc. to the tooth surfaces in the areas desired. The compositions may also be applied to other surfaces of the oral cavity, such as the gingival or mucosal tissues, or to any other oral cavity
20 surface. The composition can be applied with a brush, a pen applicator, a doe's foot applicator, or the like, or even with the fingers.

A film containing the oral care substance quickly forms on the surface to which the composition has been applied. Prolonged delivery of the oral care substance is made possible as the oral care substance is released from the film
25 over time. Then, any residual oral care substance may be easily removed by wiping, brushing or rinsing the oral surface after a desired period of time has elapsed, or in the normal course of tooth brushing or other oral care activities. Without being bound by theory, it is believed that the film will last from about 2 hours to 8 hours regardless of the reactivity of the oral care substance.
30 Preferably, the compositions are almost unnoticeable when applied to the oral cavity.

WO 01/01939

PCT/US00/15890

It is not necessary to prepare the oral cavity before applying the composition of the present invention. For example, the user may or may not choose to brush the teeth or rinse the mouth before applying the composition. The surfaces of the oral cavity are neither required to be dried nor to be
5 excessively wet with saliva or water before the composition is applied. However, it is believed that adhesion to the tooth enamel surfaces will be improved if the teeth are dry when the composition is applied.

It should be understood that the present invention relates not only to methods for delivering an oral care substance to the oral cavity of a human, but
10 also to methods of delivering an oral care substance to the oral cavity of an animal, e.g., household pets or other domestic animals, or animals kept in captivity.

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light
15 thereof will be suggested to one skilled in the art without departing from the scope of the present invention.

WO 01/01939

PCT/US00/15890

What is claimed is:

1. A composition for delivering an oral care substance to the oral cavity, comprising:
 - (a) an organosiloxane resin, preferably at a level of from 5% to 70% by weight of the composition;
 - (b) a volatile carrier capable of solubilizing the organosiloxane resin, preferably at a level of from 10% to 90% by weight of the composition;
 - (c) a rheology modifying agent, preferably at a level of from 0.1% to 30% by weight of the composition; and
 - (d) at least one oral care substance comprising particles providing an appearance benefit when the composition containing such particles is applied to the teeth surfaces, said particles preferably at a level of from 0.05% to 20% of the composition.
2. The composition of Claim 1 wherein the organosiloxane resin is comprised of units selected from the group consisting of $(\text{CH}_3)_3\text{SiO}_{0.5}$ "M" units, $(\text{CH}_3)_2\text{SiO}$ "D" units, $(\text{CH}_3)\text{SiO}_{1.5}$ "T" units, SiO_2 "Q" units, and mixtures thereof, preferably the organosiloxane resin is comprised of MQ units wherein the M:Q ratio is from about 0.5:1.0 to about 1.5:1.0..
3. The composition of Claim 1 wherein the volatile carrier is selected from the group consisting of hydrocarbon oils, volatile silicones, non-hydrocarbon solvents, and mixtures thereof, preferably the volatile carrier is selected from the group consisting of ethanol, isododecane, butanone, ethyl acetate, propyl acetate, methyl nonafluoroisobutyl ether, methyl nonafluorobutyl ether and mixtures thereof.
4. The composition of Claim 1 wherein the particles are pigments selected from the group consisting of talc, mica, magnesium carbonate, calcium carbonate,

WO 01/01939

PCT/US00/15890

magnesium silicate, aluminum magnesium silicate, silica, titanium dioxide, zinc oxide, red iron oxide, yellow iron oxide, black iron oxide, ultramarine, nylon powder, polyethylene powder, methacrylate powder, polystyrene powder, silk powder, crystalline cellulose, starch, titanated mica, iron oxide titanated mica, bismuth oxychloride, and mixtures thereof, preferably the pigment is selected from the group consisting of titanium dioxide, bismuth oxychloride, zinc oxide, and mixtures thereof.

5. The composition of any Claim 1 additionally comprising a teeth color modifying substance selected from the group consisting peroxides, metal chlorites, perborates, percarbonates, peroxyacids, persulfates, and mixtures thereof.
6. The composition of Claim 1 wherein the rheology modifier is selected from the group consisting of organo modified clays, silicas, polyethylene, and mixtures thereof.
7. A composition for delivering an oral care substance to the oral cavity, comprising:
 - (a) an organosiloxane resin, preferably at a level of from 5% to 70% by weight of the composition;
 - (b) a fluid diorganopolysiloxane-based polymer, preferably at a level wherein the ratio of organosiloxane resin to fluid diorganopolysiloxane-based polymer is from 10:1 to 1:10;
 - (c) a volatile carrier capable of solubilizing the organosiloxane resin and the fluid diorganopolysiloxane-based polymer, preferably at a level of from 10% to 90% by weight of the composition;
 - (d) a rheology modifying agent, preferably at a level of from 0.1% to 30% by weight of the composition; and
 - (e) at least one oral care substance comprising particles providing an appearance benefit when the composition containing such particles is

WO 01/01939

PCT/US00/15890

applied to the teeth surfaces, said particles preferably at a level of from 0.05% to 20% of the composition.

8. The composition of Claim 7 wherein the fluid diorganopolysiloxane-based polymer comprises repeating units of the formula $(R_2SiO)_n$, where R is selected from the group consisting of monovalent radicals containing from 1 to 6 carbon atoms, radicals containing a polyalkylene oxide group, and mixtures thereof; preferably R is selected from the group consisting of methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, amyl, hexyl, vinyl, allyl, cyclohexyl, amino alkyl, phenyl, fluoroalkyl, and mixtures thereof.
9. The composition of Claim 8 wherein the fluid diorganopolysiloxane-based polymer is terminated by triorganosilyl groups of the formula (R'_3Si) where R' is a radical selected from the group consisting of monovalent hydrocarbon radicals containing from 1 to 6 carbon atoms, hydroxyl groups, alkoxyl groups and mixtures thereof.
10. The composition of Claim 8 wherein the fluid diorganopolysiloxane polymer is poly(dimethylsiloxane).
11. The composition of Claim 8 wherein the fluid diorganopolysiloxane polymer is polyalkylene oxide modified poly(dimethylsiloxane).
12. The composition of Claim 7 wherein the volatile carrier is selected from the group consisting of hydrocarbon oils, volatile silicones, non-hydrocarbon solvents and mixtures thereof, preferably the volatile carrier is selected from the group consisting of ethanol, isododecane, butanone, ethyl acetate, propyl acetate, methyl nonafluoroisobutyl ether, methyl nonafluorobutyl ether, and mixtures thereof.

WO 01/01939

PCT/US00/15890

13. The composition of Claim 7 wherein the rheology modifier is selected from the group consisting of organo modified clays, silicas, polyethylene, and mixtures thereof.
14. The composition of any of Claims 7 to 13 wherein the oral care substance includes at least one oral care active selected from the group consisting of an anti-tartar agent, a fluoride ion source, an anti-microbial agent, an anti-inflammatory agent, one or more nutrients, a mouth and throat product, an antioxidant, an H₂ antagonist, an analgesic active, flavoring agents, sweetening agents, xylitol, surfactants, chelants, anti-viral agents and mixtures thereof..
15. The composition of Claim 14 additionally comprising a teeth color modifying substance selected from the group consisting peroxides, metal chlorites, perborates, percarbonates, peroxyacids, persulfates and mixtures thereof.
16. A method for delivering an oral care substance to at least one surface of the oral cavity, comprising the steps of: (1) applying the composition of any of Claims 1 to 15 to the surface(s) of the oral cavity; (2) allowing the composition to form a film on the surface(s) of the oral cavity.
17. The method of claim 15 wherein the composition comprises a teeth color modifying substance and the oral cavity surface to which the composition is applied is the enamel of the teeth.

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/15890

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K7/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 427 770 A (VICCARO JOHN P ET AL) 27 June 1995 (1995-06-27) claims 1,9,13 ---	1-4,7-13
A	US 5 651 959 A (HILL IRA D ET AL) 29 July 1997 (1997-07-29) claims 1,2 ---	1
A	US 5 866 630 A (KEDROWSKI BRANT L ET AL) 2 February 1999 (1999-02-02) claim 1 -----	1

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

Date of the actual completion of the international search

21 September 2000

Date of mailing of the international search report

13/10/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Beyss, E

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/15890

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5427770 A	27-06-1995	CA 2003969 A	28-05-1990
		DE 68904539 D	04-03-1993
		DE 68904539 T	03-06-1993
		EP 0373688 A	20-06-1990
		ES 2053962 T	01-08-1994
		JP 1848640 C	07-06-1994
		JP 2223512 A	05-09-1990
		JP 5061248 B	06-09-1993
US 5651959 A	29-07-1997	AU 712266 B	04-11-1999
		AU 5978796 A	24-12-1996
		BR 9608343 A	05-01-1999
		CA 2222407 A	12-12-1996
		CN 1190342 A	12-08-1998
		EP 0831762 A	01-04-1998
		JP 11506769 T	15-06-1999
		WO 9639116 A	12-12-1996
US 5866630 A	02-02-1999	US 5888491 A	30-03-1999
		US 5876208 A	02-03-1999
		AU 1264595 A	27-06-1995
		AU 1643599 A	29-04-1999
		BR 9408282 A	26-08-1997
		CA 2178486 A	15-06-1995
		CN 1142762 A	12-02-1997
		EP 0732911 A	25-09-1996
		JP 9506104 T	17-06-1997
		WO 9515740 A	15-06-1995
		ZA 9409668 A	05-06-1996